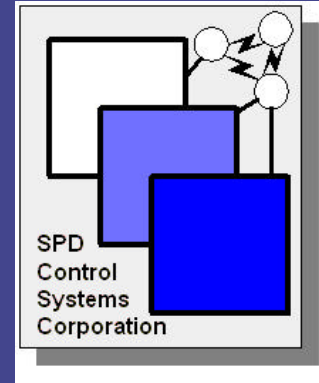


RESEARCH FRONTIERS INC.

SPD-SmartGlass™



# Daylight Harvesting Using SPD-SmartGlass and Advanced Control Systems

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June 11, 2009

Part I:  
The Need for  
Daylight Harvesting  
Using SPD-SmartGlass

US share of the  
world's total  
energy  
consumption in  
2010



20.2%

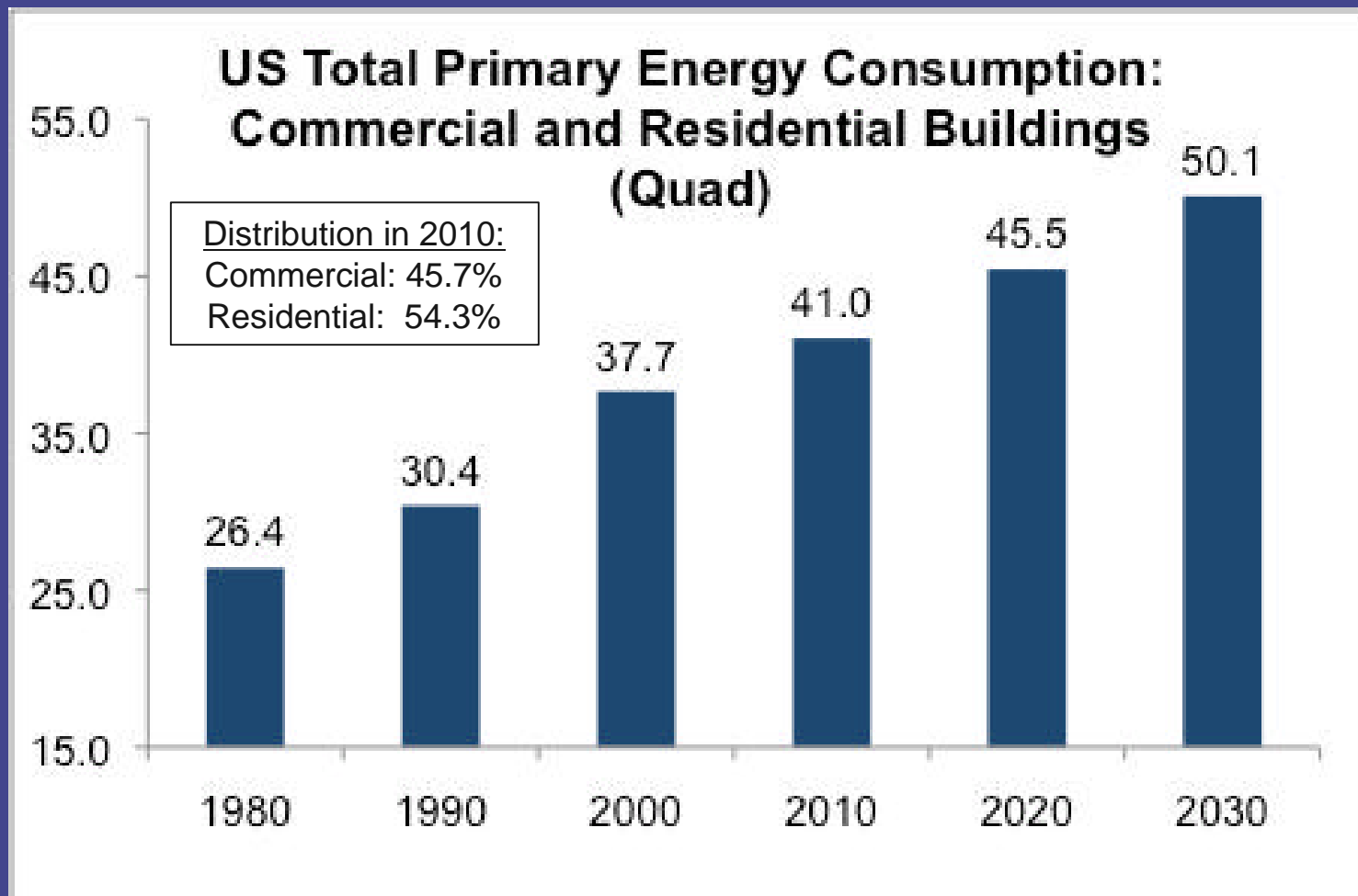
Source: US DOE 2008 Buildings Energy Data Book

# US Buildings Have a Major Impact on Energy Consumption

- 40% of the nation's primary energy use
- 72% of electricity consumption

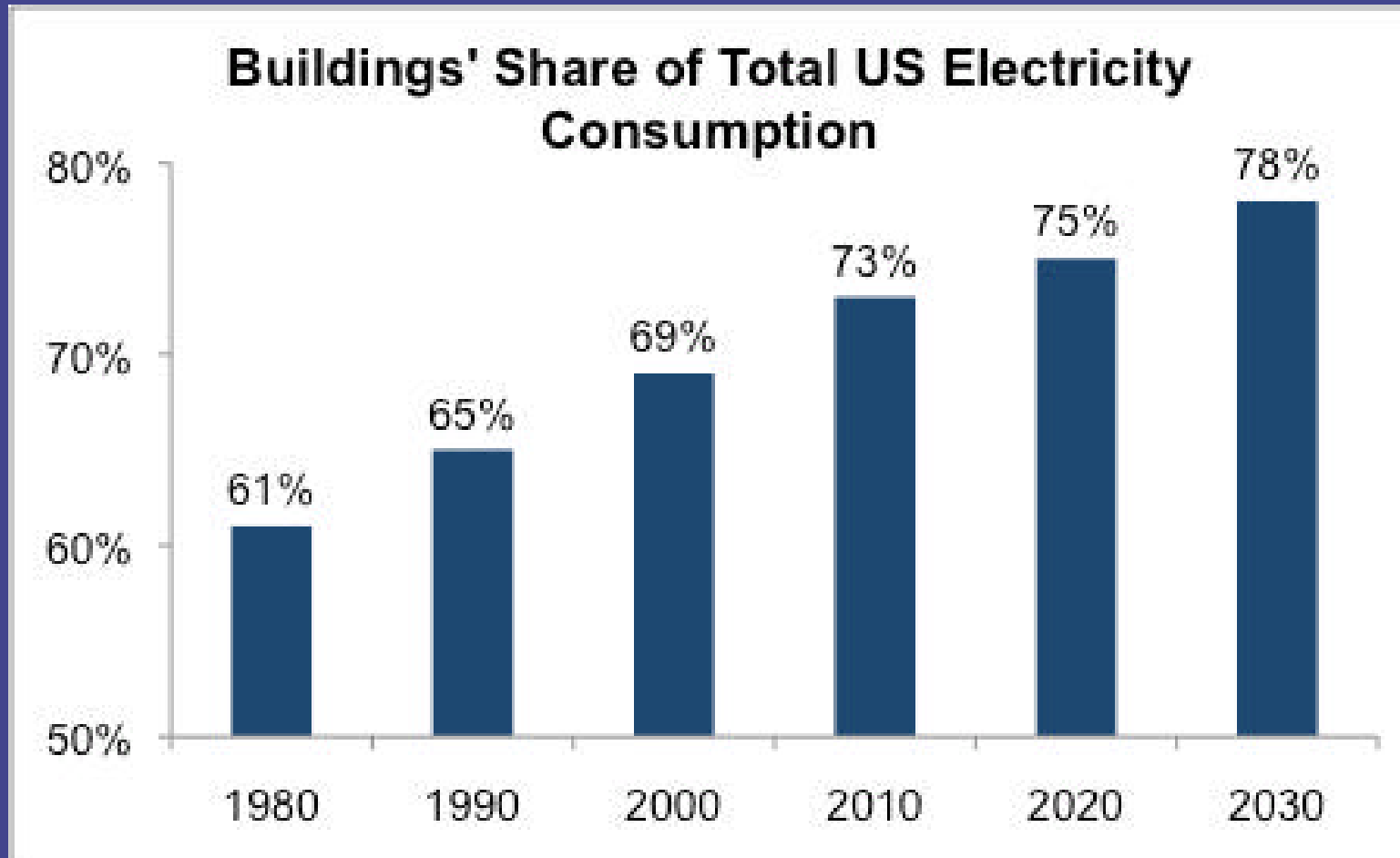
Similar data exist for the building's in other developed nations of the world.

# Buildings: Consuming Growing Amounts of Energy



Source: US DOE 2008 Buildings Energy Data Book; Quad = Quadrillion BTUs

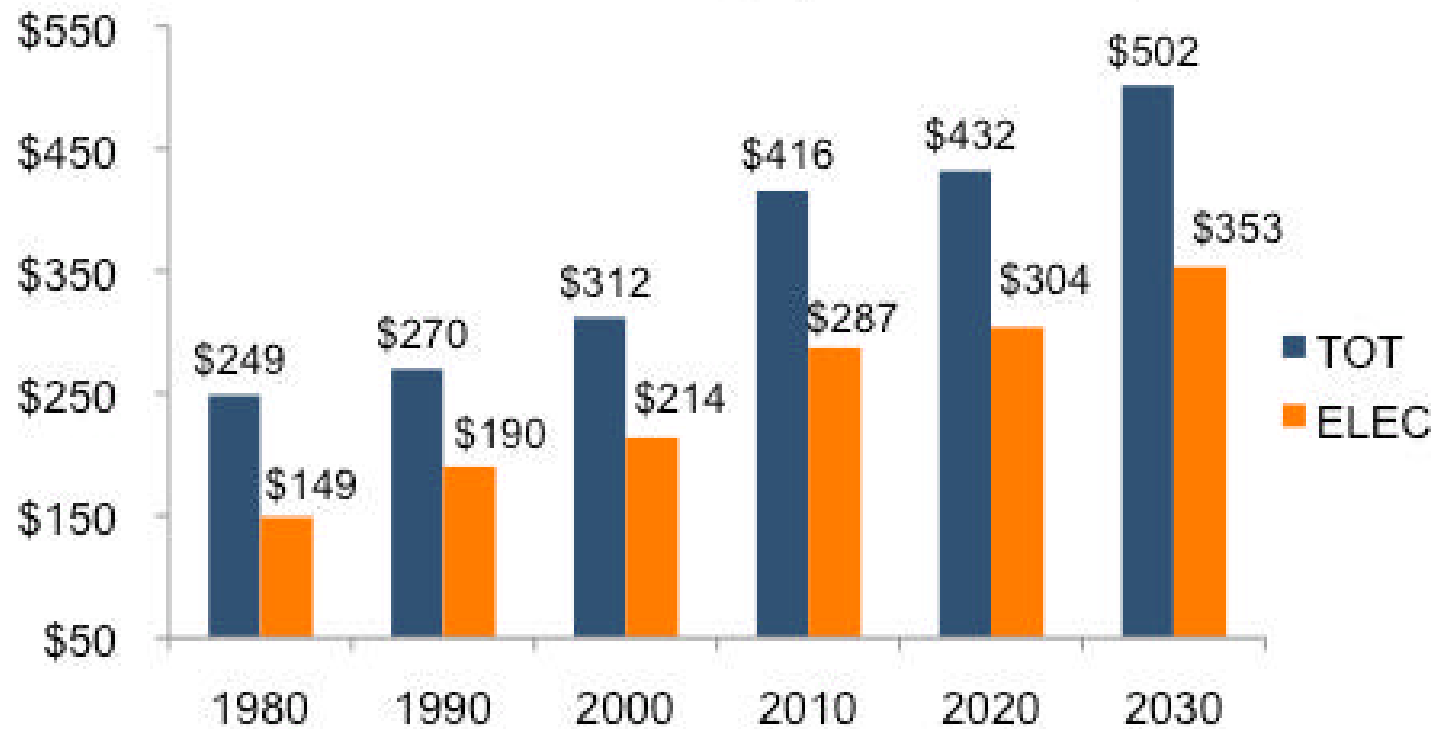
# Buildings: Using a Growing Share of All Electricity



Source: US DOE 2008 Buildings Energy Data Book

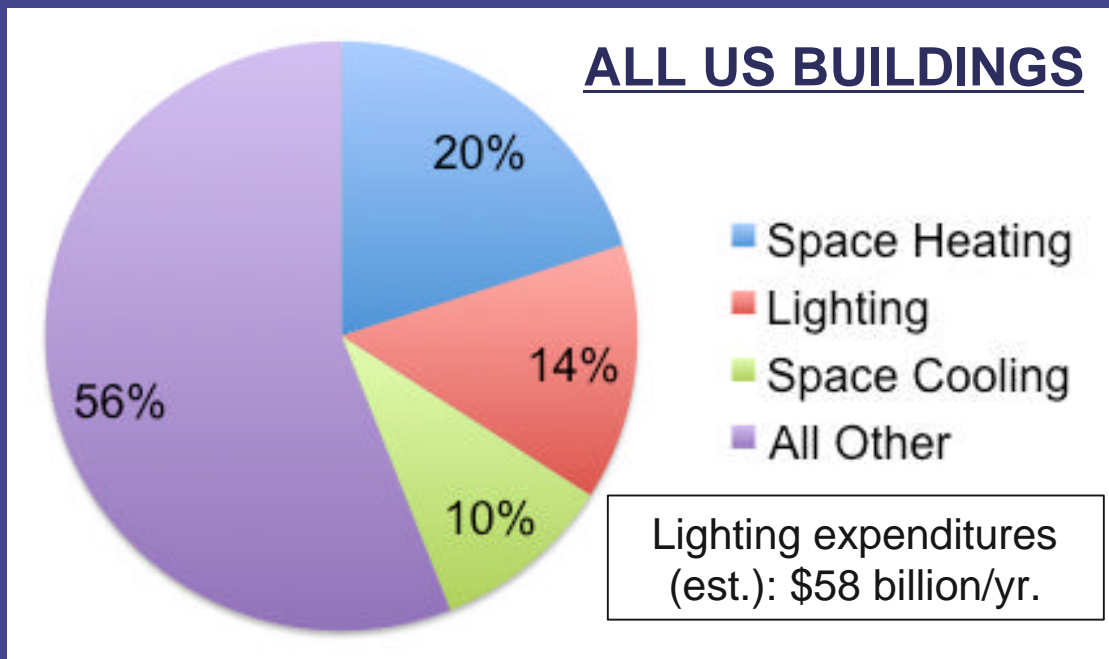
# Buildings: Rising Energy Expenditures

**Energy Expenditures of US Buildings: Total and for Electricity (\$2006 Billion)**



Source: US DOE 2008 Buildings Energy Data Book

# How Energy in Buildings Is Used



| USE           | COMM   | RES    |
|---------------|--------|--------|
| SPACE HEATING | 11.0%  | 27.6%  |
| LIGHTING      | 18.8%  | 10.1%  |
| SPACE COOLING | 8.4%   | 11.1%  |
| ALL OTHER     | 61.8%  | 51.2%  |
| TOTAL         | 100.0% | 100.0% |

1/10<sup>th</sup> to almost 1/5<sup>th</sup> of all energy used in US buildings is for lighting.

Source: US DOE 2008 Buildings Energy Data Book (for year 2010)



# The Broadening Scope of Green Building

- Energy efficiency
- Occupant well-being
- Environmental stewardship
- Buildings as “smart” systems



Daylighting Plays a Key Role

# Daylighting: The Purposeful Introduction of Natural Light

|         |  |
|---------|--|
| ✓       | <b>Energy Savings</b> <ul style="list-style-type: none"><li>• Reduces need for artificial lighting</li></ul>   |
| ✓ ✓     | <b>Natural Light in Interior Spaces</b> <ul style="list-style-type: none"><li>• Improves learning rates in schools</li><li>• Generates higher sales in retail settings</li></ul> |
| ✓ ✓ ✓   | <b>Glare Control</b> <ul style="list-style-type: none"><li>• Increases productivity</li></ul>  |
| ✓ ✓ ✓ ✓ | <b>Window View Preservation</b> <ul style="list-style-type: none"><li>• Improves performance of workers</li></ul>  |

Sample of selected sources for above: a.) California Energy Commission, Daylighting in Schools: Reanalysis Report, 2003, b.) California Energy Commission, Daylight and Retail Sales, 2003, c.) California Energy Commission, Windows and Offices: A Study of Office Worker Performance and the Indoor Environment, 2003.

# Features of A Typical Daylight Harvesting System

## ➤ Sensors

- Measure a room's illumination, the presence of occupants, and other items

## ➤ Algorithms

- Adjust artificial lighting intensity in response to the amount of natural light available to harvest

# Economic Incentive

## Daylight Harvesting

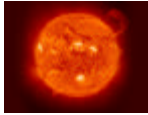
Potential annual savings of 35% to 60%  
on lighting energy.\*



Energy Savings for US Buildings:  
An estimated \$20-\$35 bil/year  
on lighting energy

\* Source: New Buildings Institute as cited by Archi-Tech Magazine, 2008

# Consider An Office With These Typical Conditions

| CONDITIONS                    | 8A   | 10A  | 12N   | 2P   | 4P   |
|-------------------------------|------|------|---|------|------|
| Availability of Natural Light | Low  | ↗    | Peak<br> | ↘    | Low  |
| Office Illumination Need      | High | High | High  | High | High |

# Comparison of Two Approaches For This Office

## 1: Conventional

- Windows with static (i.e. non-tunable) dark/reflective tint
- Manually controlled interior blinds

## 2: SPD-Smart Daylight Harvesting System

- SPD-Smart windows
- Sensor-based advanced control system

# Energy Efficiency

## 1: CONVENTIONAL

|  | 8A                           | 12N                                   | 4P                           |
|--|------------------------------|---------------------------------------|------------------------------|
| <b>STATUS</b>                                | Window tinted,<br>blind open | Window tinted,<br>blind status varies | Window tinted,<br>blind open |
| <b>DAYLIGHT<br/>HARVESTED</b>                | Low                          | May be adequate                       | Low                          |
| <b>ARTIFICIAL LIGHTING<br/>ENERGY NEEDED</b> | High                         | Moderate                              | High                         |

## 2: SPD-SMART DAYLIGHT HARVESTING SYSTEM

✓ ADVANTAGE SPD

|  | 8A     | 12N              | 4P     |
|--|--------|------------------|--------|
| <b>STATUS</b>                                | Clear  | Tinted as needed | Clear  |
| <b>DAYLIGHT<br/>HARVESTED</b>                | Higher | Higher           | Higher |
| <b>ARTIFICIAL LIGHTING<br/>ENERGY NEEDED</b> | Lower  | Lower            | Lower  |

## The SPD-Smart System Also Supports Occupant Well-Being

|       |  |
|-------|--|
| ✓     | More natural light in the office space |
| ✓ ✓   | Shading with views preserved           |
| ✓ ✓ ✓ | Glare control with views preserved     |

✓ ADVANTAGE SPD



# Benefits to the SPD-Smart Office

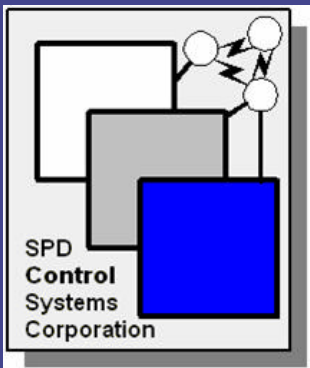
- Energy Savings
  - Lower use of energy for artificial lighting
  - Reduced heat build-up
    - SPD-Smart windows (instant-responding) and artificial lighting can go dark when occupants leave the room or other conditions are met
  - Exceptional management of solar heat gain
    - Reduces heating and and cooling loads
- Occupant Well-Being
- UV Protection: 99%+
- Innovative Designs

# SPD Control Systems Corporation (SCSC)

Control Systems and Controllers for Optimum Energy Efficiency

## Part II

### Advanced Control Systems Smart Building Window Management Systems



John Petraglia, CEO & President

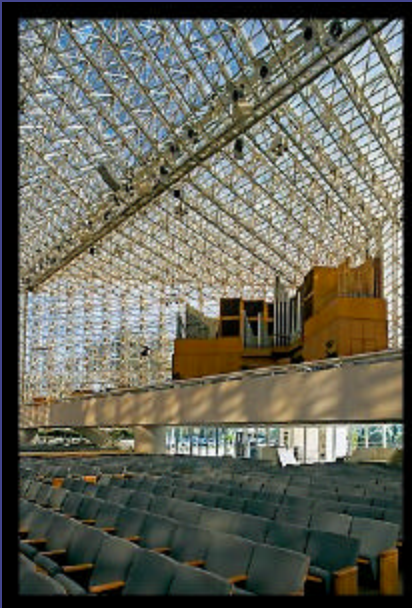
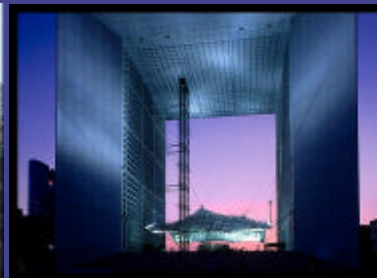
The Systems Behind the Glass®

# Topics

- **Benefits** SPD-SmartGlass in Buildings
- **Concept to Reality** Smart Building Window Management System Overview
- **Balancing Act** Implementing a Smart Building Window Management System
- **NYSERDA Contract** Prototype Smart Building Window Management System

# Glass in Modern Construction

Solar Energy Bombardment Equals Huge Lighting and HVAC Electrical Power Consumption



# Imagine



- Energy Conservation
- Daylighting
- Glare Control

The U.S. Energy Department has estimated that rooms with windows that control tint could reduce lighting energy use by up to 60 percent.



# Imagine



- Occupant Comfort
- Aesthetics
- Security
- Privacy

Berkley Labs Estimate -  
20% Energy Savings  
by  
Absorbing or Reflecting  
Solar Energy

# Smart Building Window Management

Small  
Number of  
Rooms



Off-the-Shelf Controls

Larger  
Buildings  
to  
Skyscrapers



Smart Building  
Window Management  
System for Optimum  
Energy Efficiency

# Examples

- Optimize Daylighting to Reduce Lighting Costs
- Building Façade Tint Control
- Automatic Tint Control
- Room Occupant Manual Control to Override Automatic Operation
- Allow Building Management to Determine Balance of Energy Efficiency, Daylighting and Occupant Comfort
- Use Current Energy Cost to Determine Optimum Energy Efficiency
- iPhones and other handheld devices to control tint



# Opportunity Awaits

The real benefit and cost effectiveness of Smart Windows is when multiple goals can be satisfied together:

- Energy Savings
- Security
- Privacy
- Aesthetics
- Daylighting
- Glare Control
- Occupant Comfort



**Intelligent Control  
Systems by SCSC  
can integrate  
all of these goals.**

**Smart Windows are Smarter with Intelligent Control Systems**

# Concept to Reality

- There are constant dynamic decisions to make that balance energy efficiency, daylighting and occupant comfort. The algorithms are complex to balance these conditions.
- Our Smart Building Management System satisfies a wide range of building energy efficiency and control requirements while providing optimum creature comfort.



# Monitor Environmental Conditions

## Condition

- Light levels
- Room Occupancy
- Time of Day Tint Level
- Real-time Energy Cost
- Sun Position

## Source

- Light Sensors
- Occupancy Sensor
- User Defined Tables
- Energy Company Interface
- Sun Transit Calculation

# Building Window Profiles

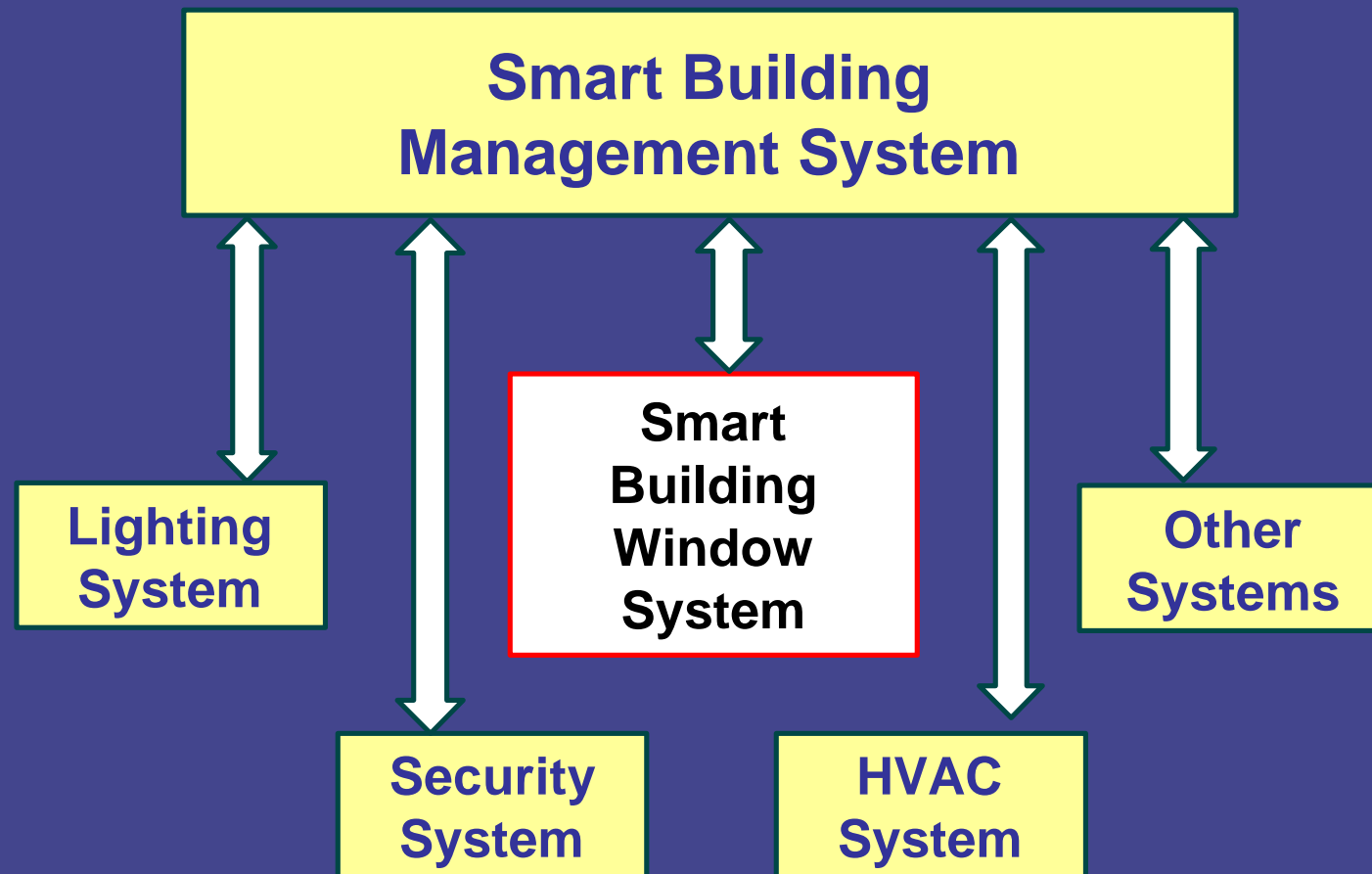
- Building Window Profiles are the requirements for a specific building to balance energy efficiency, occupant comfort, daylighting, glare control, ...
- Energy saving will be different as every Building Management Organization has its own set of requirements (their Building Window Profile) affecting the overall savings.

# Algorithms



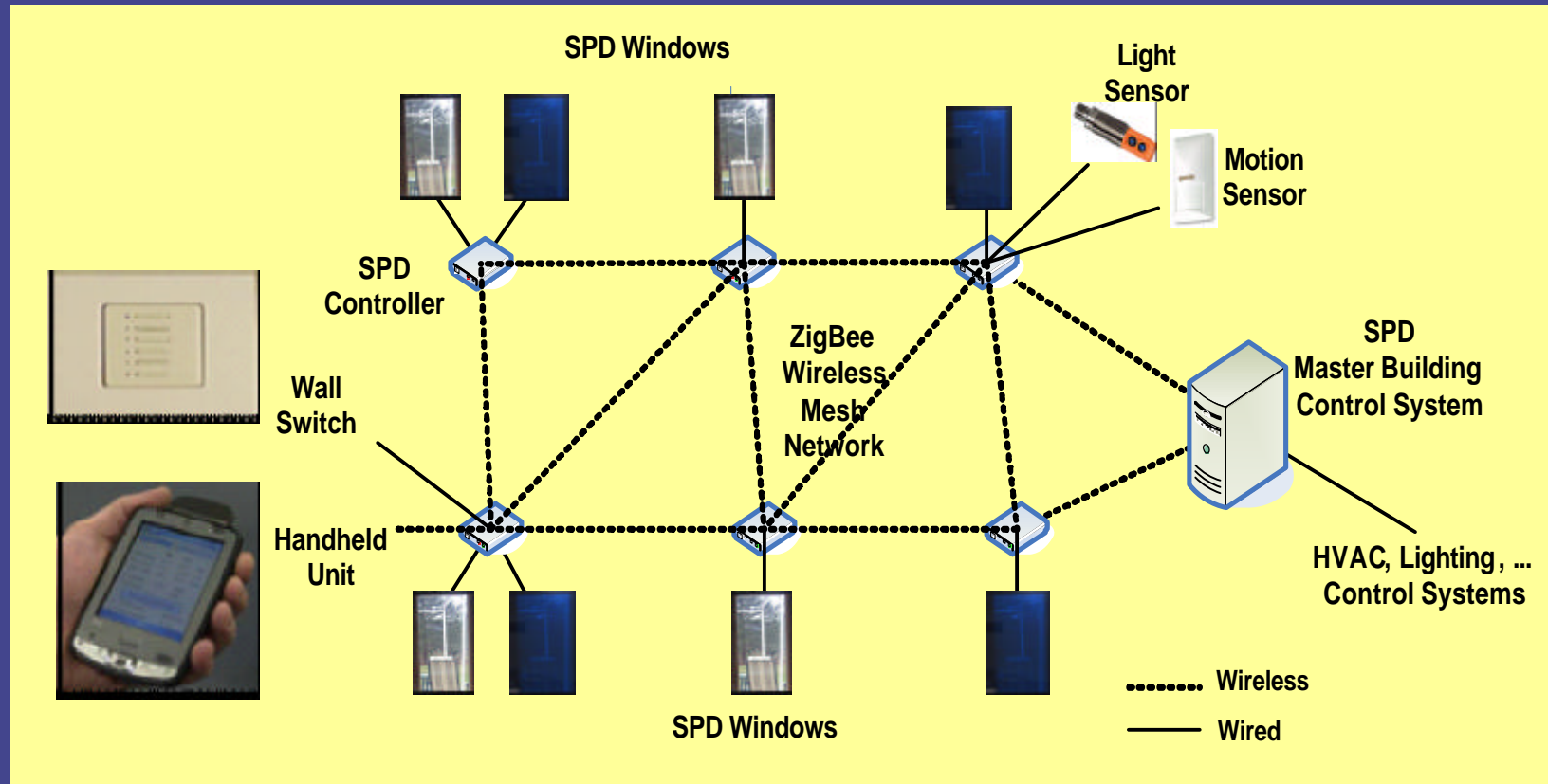
- Determine the combination of SPD-Smart window tint levels that will provide optimal energy performance.
- Our System provides multiple algorithms to optimize energy efficiency based on the building profile.

# Smart Building Management System



# NYSERDA Contract

## Smart Building Window System



Develop and demonstrate a building control system for dynamically controlling the electronically variable tinting of Suspended Particle Device (SPD) SmartGlass windows.

## Key Points

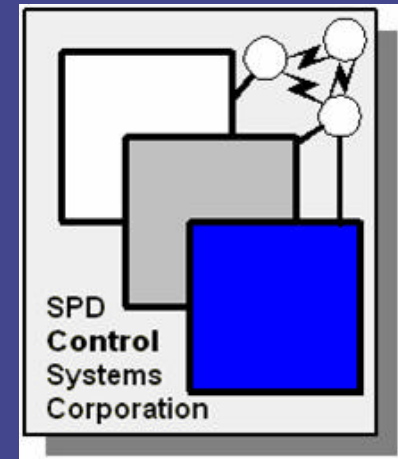
- A few rooms may not require a Smart Building Window System. Simple off-the-shelf switches are satisfactory.
- Large scale use of dynamic shading in a building does require an intelligent Building Energy Management System.
- An intelligent Smart Building Window System is needed to effectively balance the diverse energy efficiency requirements of a building.
- Our System provides a platform for developing algorithms to optimize and balance energy efficiency and occupant well-being for different building profiles.



# Contact Information

*Changing the way you view windows®*

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